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Assistant Commissioner for Patents  
Washington, D.C. 20231

By: *Samir Butti*

12/10/99  
ASSISTANT COMMISSIONER FOR PATENTS  
PATENT APPLICATION  
Washington, D.C. 20231

Transmitted herewith for filing under 37 CFR 1.53(b) is the

- ☐ patent application of  
☐ continuation patent application of  
☐ divisional patent application of  
☒ continuation-in-part patent application of

Inventor(s)/Applicant Identifier: John Fikes, Alessandro Sette, John Sidney, Scott Southwood, Robert Chesnut, Esteban Celis and Elissa Keogh

For: INDUCING CELLULAR IMMUNE RESPONSES TO HER2/neu USING PEPTIDE AND NUCLEIC ACID COMPOSITIONS

- [X] This application claims priority from each of the following Application Nos./filing dates:  
09/189,702 filed November 10, 1998; 08/205,713 filed March 4, 1994; 08/159,184 filed November 29, 1993;  
08/073,205 filed June 4, 1993 and 08/027,146 filed March 5, 1993

the disclosure(s) of which is (are) incorporated by reference.

Please amend this application by adding the following before the first sentence: "This application is a ☐ continuation ☐ continuation-in-part of and claims the benefit of U.S. Application No. 60/\_\_\_\_\_, filed \_\_\_\_\_, the disclosure of which is incorporated by reference."

Enclosed are:

- [X] 212 page(s) of specification  
[X] 6 page(s) of claims  
[X] 1 page of Abstract  
[ ] sheet(s) of ☐ formal ☐ informal drawing(s).  
An assignment of the invention to \_\_\_\_\_  
[X] A ☐ signed ☐ unsigned Declaration & Power of Attorney  
[ ] A ☐ signed ☐ unsigned Declaration.  
[ ] A Power of Attorney.  
[ ] A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 ☐ is enclosed ☐ was filed in the prior application and small entity status is still proper and desired.  
[ ] A certified copy of a \_\_\_\_\_ application.  
[ ] Information Disclosure Statement under 37 CFR 1.97.  
[ ] A petition to extend time to respond in the parent application.  
[ ] Notification of change of ☐ power of attorney ☐ correspondence address filed in prior application.

In view of the Unsigned Declaration as filed with this application and pursuant to 37 CFR §1.53(f), Applicant requests deferral of the filing fee until submission of the Missing Parts of Application.

DO NOT CHARGE THE FILING FEE AT THIS TIME.

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